12 - 10 - 0

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	4499-516
First Inventor or Application Identifier	Otto Mayer
Title	**TRANSPORT SYSTEM**
Express Mail Label No.	EV014468779US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)*
   (*Submit an original and a duplicate for fee processing*)
2. ☒ Specification   [*Total Pages* 16]
   (*preferred arrangement set forth below*)
   — Descriptive title to the Invention
   — Cross References to Related Applications
   — Statement Regarding Fed sponsored R & D
   — Reference to Microfiche Appendix
   — Background of the Invention
   — Brief Summary of the Invention
   — Brief Description of the Drawings *(if filed)*
   — Detailed Description
   — Claim(s)
   — Abstract of the Disclosure
3. ☒ Drawing(s) *(35 U.S.C. 113)*   [*Total Sheets* 1]
4. ☒ Oath or Declaration   [*Total Pages* 2]
   a. ☒ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
      (*for continuation/divisional with Box 17 completed*)
      [Note Box 5 below]
      i. ☐ <u>DELETION OF INVENTOR(S)</u>
         Signed statement attached deleting
         inventor(s) named in the prior application,
         see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*
   The entire disclosure of the prior application, from which a
   copy of the oath or declaration is supplied under Box 4b,
   is considered as being part of the disclosure of the
   accompanying application and is hereby incorporated by
   reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)
   a. ☐ Computer Readable Copy
   b. ☐ Paper Copy (identical to computer copy)
   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement   ☒ Power of Attorney
   (when there is an assignee)
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure   ☐ Copies of IDS
    Statement (IDS)/PTO-1449   Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
    (Should be specifically itemized)
14. ☐ *Small Entity   ☐ Statement filed in prior application,
    Statement(s)   Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
    (if foreign priority is claimed)
16. ☒ Other: Express Mail Certification

*<u>NOTE FOR ITEMS 1 & 14</u>: IN ORDER TO BE
ENTITLED TO PAY SMALL ENTITY FEES, A SMALL
ENTITY STATEMENT IS REQUIRED (37 C.F.R.
§ 1.27), EXCEPT IF ONE FILED IN A PRIOR
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).*

17. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information below and in a preliminary statement:*
    ☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: _____ / _____
    Prior application information: *Examiner:*   *Group/Art Unit:*
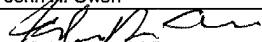
18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label
(Insert Customer 24112 or Attach bar code label here)   or ☐ Correspondence address below

NAME	
ADDRESS	

CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

Name (Print/Type)	John R. Owen	Registration No. (Attorney/Agent)	42,055
Signature		Date	November 6, 2001

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1
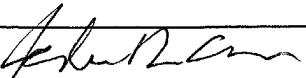*These are the fees effective December 29, 1999.*
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known	
Application Number	TBA
Filing Date	TBA
First Named Inventor	Otto Mayer
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	4499-516

TOTAL AMOUNT OF PAYMENT	**($)884.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commission is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 18-1167

Deposit Account Name: Coats & Bennett, P.L.L.C.

☒ Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR §1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	740.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	**($)740.00**

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below		Fee Paid
Total Claims	28	-20** =	8	X 18.00 =	144.00
Independent Claims	1	-3** =	0	X =	0
Multiple Dependent Claims				X =	

** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) ($)144.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examination action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($) 0

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	John R. Owen			Reg. Number	42,055
Signature		Date	November 6, 2001	Deposit Account User ID	18-1167

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

12/13/2001 HTECKLU1 00000080 10008221

01 FC:101   740.00 OP
02 FC:103   144.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Mayer et al.   )
)
Serial No._____   )
)   **Express Mail Certification**
)   **Label No.EV014468779US**
Filed:_____   )
)
For: **TRANSPORT SYSTEM**   )
)
Attorney's Docket No. 4499-516   )

Raleigh, North Carolina

November 6, 2001

Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

EXPRESS MAIL CERTIFICATE LABEL NO. EV014468779US
DATE MAILED: November 6, 2001

I hereby certify that the enclosed specification and claims, drawings (1 sheet), Declaration and Power Of Attorney (unsigned), and our Check # 5262 in the amount of $884.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Respectfully submitted,

COATS & BENNETT, P.L.L.C.

By:

John R. Owen
Registration No. 42,055

Telephone: (919) 854-1844